Syncope in English: Fact or Fiction?

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"..." = descriptive terms whose status as analytic tools is debatable

0. Intro

- syncope: the deletion of a zero-stressed vowel (schwa) between consonants + compression ("resyllabification") (*Brittany* ~ *Britney*) = the number of "syllables" reduces by one (vs. syllabic consonant formation: *button*)
- more marked constructions are produced: "coda" consonant, "consonant clusters" (secondary clusters)
- traditional descriptions distinguish betw. pre-stress (políce) and post-stress (cámera) syncope

This paper: the first results of a project

Claims:

- the pre-stress/post-stress distinction is secondary phonologically
- relevant distinction: betw. phonotactically licit vs. illicit, that is, whether the resulting secondary cluster is part of the inventory of well-formed clusters (in English)
- (- illicit is not necessarily defined on a language-specific basis)
- licit syncope has the potential to undergo phonologically (not only phonetically, no traces)
 → merger with lexical structures → lexicalization ⇒ intuitions (even of phonologists describing/analyzing syncope ⑤)
- 1. Schwa deletion (syncope) in English: the facts (?)
- traditional descriptions (esp. Zwicky 1972a-b and Hooper 1978): post-stress vs. pre-stress, cf.:

Harris (to appear: 5):

Syncope in English, which is both lexically and phonetically variable, targets unstressed syllables in two environments [...] (i) a word-initial unfooted syllable [...] and (ii) between a stressed and an unstressed syllable where the consonant following the targeted vowel is a sonorant and more sonorous that the consonant preceding [...] The effect of the second pattern is to contract a trisyllabic sequence into a bisyllabic trochaic foot.

post-stress syncope	pre-stress syncope	
strict sonority constraint ¹	phonotactically unconstrained (Zwicky),	
Hooper: not before obstruents,	or: less constrained, on a relative scale	
not even in sC clusters ²	(Hooper ³)	
e.g., camera, family, different,	e.g., terrain, police;	
separate (adj), etc.	also in suppose, suffice, potato, etc.	
lexicalized cases	only attested in very fast and casual speech	

but: mere intuitions, criticized in corpus phonetics literature

2. Corpus data: the facts

- Dalby (1986), Davidson (2002, 2006), Patterson et al. (2003) ... Carlotti-Mortreux-Turcsán (2009)
- only partially supporting the traditional descriptions
- in certain registers, and not necessarily in very fast speech, following obstruents do in fact favour syncope and the reverse of the expected sonority effect is found (cf. esp. Dalby 1986:
 - in fast reading, the rate of pre-obstruent syncope increases, with stops over fricatives
 - in slow reading, post-syncope obstruents and sonorants have the same score
 - in conversations stops favour syncope
 - => sonority difference between members of the secondary cluster strongly favours syncope: R_T highest rate, in fast reading: T_R lowest rate)
- complications: tempo, style, dialect, intraspeaker variation, word frequency, interference with syllabic consonant formation + method of evaluation of data
- contradictory data (see also Kürti 1999), e.g.:

Dalby vs. Davidson (2002): acoustic analysis of word-initial pre-stress syncope⁴: deletion occurs only when the resulting cluster is either found in English or conforms to a universally unmarked syllable type [...] deletion is not necessarily a rate-dependent process, but can be a general characteristic of a speaker's dialect (ibid: 1)⁵

- Carlotti-Mortreux-Turcsán (2009): despite the complexity of the corpus data, it is clear that:

the distinction between post-tonic neutralising and pre-tonic opaque syncope in particular and, licit vs. illicit syncope in general seems to be crucial for modelling native speaker's behaviour and judgements

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¹ sonority/strength hierarchy: vowels – glides – r - l – nasals – fricatives – plosives

² Hooper: the constraints on schwa deletion are not governed by language-specific syllable structure conditions but are governed by universal constraints by which sonorants in second position are favoured over obstruents

³ Hooper: stressed syllables tolerate freer clustering – for the opposite claim, see below

⁴ strict definition of schwa deletion to rule out any gestures that could correspond to the presence of a vowel: any part of the interconsonantal interval which included a voice bar and/or formant structure was considered part of the vowel + no C1 aspiration (≈ a devoiced vowel)

⁵ rate-dependent vs. rate-independent speakers, both observe phonotactics

- 3. Phonetic or phonological?
- surface phonetic phenomenon in which the phonological patterning of segments imitates the pre-deletion situation? \rightarrow gradient: phonologically incomplete, preserves the syllabicity of the 'deleted' vowel, which may be signalled by phonetic cues at the deletion site, fully recoverable from the output

- phonological process? → categorial: phonologically complete, destroys syllabicity of deleted vowel, syllable-governed phonology refers exclusively to the output "syllabification"

[cf. Kager (1997) on rhythmic vowel deletion]

Answers:

- very often (usually?): phonetic traces → opaque surface structures: not transparent, that is, (some of) the conditions of a pronunciation have become obscured by another one:

Surface opacity⁶

Buriace opacity				
Aspiration ⁷	Tapping ⁸	Voicing	Gemination	
sU[p ^h]osed	li[r]Erature	po[z]Itive	pro[bb]ly ('probably')	
[k ^h]Onnections	ca[r]Alog		lib[rr]y ('library')	
[k ^h]Ollected	ca[r]Ering			
- no aspiration after [s]	no tapping	no voiced fricatives	no lexical geminates	
morpheme-internally	before C	before fortis		
- no aspiration bef. C		obstruents		
		morpheme-int-ly		

N.B. rather independent of the pre-stress/post-stress and licit/illicit distinctions

- Carlotti-Mortreux-Turcsán: parsing cues for speakers: they are clear signals of underlying non-adjacency

i.e., phonologically, there is no deletion

- phonologized syncope: no traces, merger with lexical clusters (*cemetery* = *symmetry*) $\rightarrow \rightarrow$ lexicalization:

every, family, general, chocolate, mystery; Barbara, factory, mackerel, et cetera, camera, celery, business... – both licit and 'illicit' (see below)

- lexicalization of pre-stress syncope? pram, police, suppose, support – a much smaller set (see below)

4. Illicit?

- illicit syncope produces consonant sequences unattested in English lexically → cannot, by definition, lexicalize (?)

 $potato -X \rightarrow ptato*#pt$ but: tata/tater/tattie also: 'cause, 'member: loss of initial consonant, too both the combination and the position are illicit (cf. -pt- in *chapter*, etc.) vegetable, family: not illicit positionally, "bogus clusters" (cf. butler)

5. Conclusions

- key distinction: phonotactically licit vs. illicit
- word-internally, it is easier to be licit, at least positionally (cf. *vegetable*)
- word-initially: stricter phonotactics ("branching onsets"/"onset clusters" only) → a much smaller set of lexicalized examples
- pre-stress word-internal syncope (separate (v), nationalize): stress clash avoidance insufficient explanation: general tendency of stressed vowels to refuse to support
- pre-stress very often coincides with word-initial: two problems!
- licit syncope can potentially be phonologically complete, where phonology is governed by output "syllabic affiliation" \rightarrow merger with lexical structures (*cemetery* = *symmetry*, parade = prayed, support = sport) \rightarrow possibility of lexicalization \Rightarrow intuitions in traditional descriptions: neither factual (contra phonetic facts and corpus data) nor fictitious (reflect intuitions about surface opacity vs. potential lexicalization)

6. Plans for research

- phonetic investigation of the PAC⁹ corpus
- perception test

⁶ Based on Carlotti-Mortreux-Turcsán (2009)

⁷ Hooper: original voiceless stops retain aspiration. Patterson et al.: in sp- words, 60% of /p/ unaspirated: no strong support for either a phonetic or a phonological explanation

⁸ Hooper: a schwa following a flap tends to remain undeleted (artery, watery, buttery, flattery...): flap is too weak – here: avoidance of opacity

⁹ The PAC project ('La Phonologie de l'Anglais Contemporain: usages, variétés et structure: The Phonology of Contemporary English: usage, varieties amd structure')

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